



Colorado Capital  
MANAGEMENT

## FACTS

### WHAT DOES COLORADO CAPITAL MANAGEMENT, INC. DO WITH YOUR PERSONAL INFORMATION?

#### Why?

Financial companies choose how they share your personal information. Federal law gives consumers the right to limit some but not all sharing. Federal law also requires us to tell you how we collect, share, and protect your personal information. Please read this notice carefully to understand what we do.

#### What?

The types of personal information we collect and share depend on the product or service you have with us. This information can include:

- Social Security number and income
- assets and transaction history
- investment experience and risk tolerance

When you are *no longer* our customer, we continue to share your information as described in this notice.

#### How?

All financial companies need to share customers' personal information to run their everyday business. In the section below, we list the reasons financial companies can share their customers' personal information; the reasons Colorado Capital Management chooses to share and whether you can limit this sharing.

| Reasons we can share your personal information   | Does Colorado Capital Management? | Can you limit this sharing? |
|--|-----------------------------------|-----------------------------|
| <b>For our everyday business purposes</b> —such as to process your transactions, maintain your account(s), respond to court orders and legal investigations, or report to credit bureaus | YES                               | NO                          |
| <b>For our marketing purposes</b> —to offer our products and services to you   | NO                                | We do not share             |
| <b>For joint marketing with other financial companies</b>  | NO                                | We do not share             |
| <b>For our affiliates' everyday business purposes</b> —information about your transactions and experiences   | NO                                | We do not share             |
| <b>For our affiliates' everyday business purposes</b> —information about your creditworthiness   | NO                                | We do not share             |
| <b>For our affiliates to market to you</b>   | NO                                | We do not share             |
| <b>For non-affiliates to market to you</b>   | NO                                | We do not share             |

#### Questions?

Call 303.444.9300

### Who we are

Who is providing this notice?

Colorado Capital Management

### What we do

How does Colorado Capital Management protect my personal information?

To protect your personal information from unauthorized access and use, we use security measures that comply with federal law. These measures include computer safeguards and secured files and buildings.

How does Colorado Capital Management collect my personal information?

We collect your personal information, for example, when you

- open an account or give us contact information
- enter into an investment adviser contract or give us your income information
- tell us about your investment or retirement portfolio

We also collect your personal information from other companies.

Why can't I limit all sharing?

Federal law gives you the right to limit only

- sharing for affiliates' everyday business purposes—information about your creditworthiness
- affiliates from using your information to market to you
- sharing for non-affiliates to market to you

State laws and individual companies may give you additional rights to limit sharing

### Definitions

Affiliates

Companies related by common ownership or control. They can be financial and nonfinancial companies.

- **Colorado Capital Management** has no affiliates

Non-affiliates

Companies not related by common ownership or control. They can be financial and nonfinancial companies.

- **Colorado Capital Management** does not share with non-affiliates so they can market to you

Joint marketing

A formal agreement between nonaffiliated financial companies that together market financial products or services to you.

- **Colorado Capital Management** does not jointly market

### Other important information